

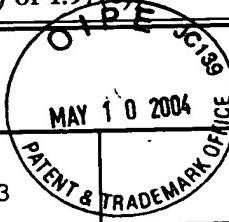
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

15932-US

In Re Application Of: Ed Stengel



Serial No.
10/707,042

Filing Date
November 17, 2003

Examiner

Group Art Unit
3745

Title: NECKLACE FAN

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97 (b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97 (c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Title: NECKLACE FAN

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Dated: May 6, 2004

Richard J. Mitchell

34,519

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 1

Complete if Known

Application Number	10/707,042
Filing Date	November 17, 2003
First Named Inventor	Ed Stengel
Art Unit	3745
Examiner Name	

Attorney Docket Number 15932-US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number <small>Number-Kind Code² (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,179,564 B1	01-30-2001	Park	
		US- 5,715,999	02-10-1998	Hsu	
		US- 5,667,731	09-16-1997	Junkel et al.	
		US- 5,965,067	10-12-1999	Junkel et al.	
		US- 6,398,132 B1	06-04-2002	Junkel et al.	
		US- 5,338,495	08-16-1994	Steiner et al.	
		US- 5,620,633	04-15-1997	Junkel et al.	
		US- 5,304,035	04-19-1994	Carter	
		US- 6,378,845 B1	04-30-2002	Hsu	
		US- 5,752,662	05-19-1998	Hsu	
		US- 5,843,344	12-01-1998	Junkel et al.	
		US- 6,135,174	10-24-2000	Neville	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document <small>Country Code³-Number⁴ Kind Code⁵ (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner Signature	Date Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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